SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL 3235-0287

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OMB Number: Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Estimated average burden Х hours per response Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name **and** Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 1. Name and Address of Reporting Person* EchoStar CORP [SATS] Ergen Two-Year November 2018 SATS Director х 10% Owner GRAT Officer (give title Other (specify below) below) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (First) (Middle) 11/30/2020 9601 S. MERIDIAN BLVD. 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Form filed by One Reporting Person Х ENGLEWOOD CO 80112 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Instr. 3) 2. Transaction 7. Nature of 2A. Deemed 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of 6. Ownership Date Execution Date Transaction Securities Form: Direct Indirect (Month/Dav/Year) if any (Month/Day/Year) Beneficial Code (Instr. **Beneficially Owned** (D) or Indirect Following Reported 8) (I) (Instr. 4) Ownership (Instr. 4) (A) or (D) Transaction(s) (Instr. 3 and 4) v Price Code Amount Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 5. Number of 11. Nature 1. Title of 3. Transaction 3A. Deemed 6. Date Exercisable and 7. Title and Amount of 8. Price of 9. Number of 10. Ownership Form: Conversion Transaction of Indirect Beneficial Derivative Security Execution Date, if any Derivative Securities Expiration Date (Month/Day/Year) Securities Underlying Derivative Security Derivative derivative (Month/Day/Year) or Exercise Code (Instr. Security Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Ownership Price of Derivative Beneficially (Instr. 3) (Month/Dav/Year) 8) (Instr. 3 and 4) (Instr. 5) Direct (D) or Indirect Owned (Instr. 4) Following Reported (I) (Instr. 4) Security Transaction(s Amount or Number of (Instr. 4) Date Expiration Shares ν (A) (D) Exercisabl Date Title

Explanation of Responses:

(1)

Class B

Commor Stock

1. The holder of Class B shares may elect to convert any or all of their Class B shares into an equal number of Class A shares at any time for no additional consideration.

2. On November 30, 2018, Charles W. Ergen established the Ergen Two-Year November 2018 SATS GRAT (the "2018 November GRAT") and contributed a total of 20,000,000 Class B shares to such trust. Pursuant to the terms of the 2018 November GRAT, all of the remaining 14,266,099 Class B shares were distributed to Mr. Ergen as an annuity payment on November 30, 2020 and the 2018 November GRAT expired in accordance with its terms. Mrs. Cantey M. Ergen, Mr. Ergen's spouse, served as the trustee of the 2018 November GRAT.

(1)

/s/ Cantey M. Ergen, Trustee of the Ergen Two-Year November 2018 SATS GRAT, by Dean A. Manson, her Attorney-in-Fact ** Signature of Reporting Person

14,266,099

\$<mark>0</mark>

Class A

Stock

(1)

12/02/2020

0

D⁽²⁾

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

11/30/2020

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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